

03-26-07

CAC

Atty. Docket No. FR919990071US1  
(590.106)



IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

In re Application of : Blanchard et al  
Serial No. : 09/863,920 Examiner : Vincent Millin  
Filed : May 23, 2001 Art Unit : 3624  
Patent No. : 7,181,423  
Granted : February 20, 2007  
For : SYSTEM AND METHOD FOR STOCK OPTIONS MARKET  
MANAGEMENT

March 23, 2007

REQUEST FOR A CERTIFICATE OF CORRECTION

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Upon comparison of U.S. Patent No. 7,181,423 granted on February 20, 2007, to  
Blanchard et al for "SYSTEM AND METHOD FOR STOCK OPTIONS MARKET  
MANAGEMENT" with our file of the application therefore, the following errors were found:

In the Patent

In the File History

Column 8, Line 60, "rypology" should be  
---typology---

Per Amendment, Page 5

Column 8, Line 63, "die" should be ---the---

Per Amendment, Page 5

Accordingly, applicants hereby enclose a proposed Certificate of Correction, in  
duplicate.

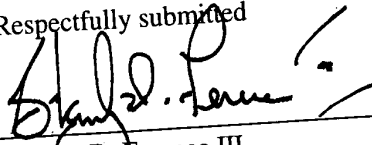
Certificate  
MAR 29 2007  
of Correction

MAR 29 2007

It is respectfully requested that the Certificate of Correction be issued for attachment to the original patent under the provisions of Rules 322 and 323 of the Rules of Practice.

It is believed no fee is due as this was an error of omission on the part of the U.S. Patent Office. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or credit **Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted



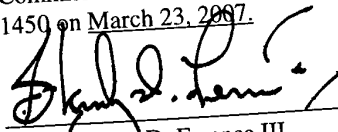
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Attorneys for Applicants

#### CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence and any documents referred to as enclosed therewith are being deposited with the United States Postal Service as Express Mail Post Office to Addressee Label No. EM032460330US, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on March 23, 2007.



Stanley D. Ference III  
Reg. No. 33,879

March 23, 2007  
Date of Signature

MAR 29 2007

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,181,423  
APPLICATION NO.: 09/863,920  
ISSUE DATE : February 20, 2007  
INVENTOR(S) : Blanchard et al

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 8, Line 60, "rypology" should be ---typology---

Column 8, Line 63, "die" should be ---the---

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

REFERENCE & ASSOCIATES  
409 Broad Street  
Pittsburgh, Pennsylvania 15143

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

MAR 29 2007

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